

ICOC Meeting  
Draft Minutes  
September 28th, 2017  
9:00am to 3:00pm

**Main Location:**

- 1999 Harrison Street, Suite 1650, Oakland, CA 94612

**Other Locations**

- 1156 High Street, 200 Kerr Hall, Santa Cruz CA 95064 - Location available 9:00 to 11:30
- 291 Campus Drive, Stanford, CA 94305 - Location available 9:15 to 11:00
- 500 Hayes Street, San Francisco, CA - Location available 9:00 to 12:00
- 9500 Gilman Drive and Meyers Leichtig Bldg. Room 284 La Jolla, CA 92093
- 8700 Beverly Blvd 2015, Los Angeles, CA 90048
- 700 Tiverton Avenue, Los Angeles CA 90095
- 10996 Torreyana Road, Suite 200 San Diego, CA 92121

<b>MEMBERS</b>	<b>ATTENDANCE</b>
George Blumenthal (by teleohone)	P
Linda Boxer (by teleohone)	P
Ken Burtis	P
Deborah Deas	p
Jack Dixon (by teleohone)	P
Anne-Marie Duliege	p
Howard Federoff	np
Judy Gasson (by teleohone)	p
David Higgins	P
Steve Juelsgaard	p
Sherry Lansing	np
Bert Lubin	P
Linda Malkas	p
Dave Martin	p
Shlomo Melmed (by teleohone)	P
Lauren Miller	np
Adriana Padilla	p
Joe Panetta (by teleohone)	P
Francisco Prieto	P
Robert Quint	P
Al Rowlett (by telephone)	p
Jeff Sheehy	P
Os Steward	P
Jonathan Thomas	P
Art Torres (by telephone)	P
Kristina Vuori	P
Diane Winokur	P
	<b>24 P</b>
	<b>3 NP</b>

## **CLOSED SESSION**

### **Agenda Item #4**

Discussion of Personnel: Appointment President/ CEO (Government Code section 11126, subdivision (a); Health & Safety Code section 125290.30(f) (3) (D)).

- Closed Session taken

## **ACTION ITEMS**

### **Agenda Item #5**

Consideration of appointment of new President, including compensation package.

#### **Motion #1**

- Motion made by Diane Winokur to Appoint Maria Millan as President and CEO of CIRM, at a salary of \$550,000, effective July 1, 2017
- Motion seconded by Steve Juelsgaard

#### **Vote**

- The ICOC by voice vote approved the motion
- 22 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

### **Agenda Item#6**

Consideration of applications submitted in response to INFR4: Alpha Clinics Network Expansion Award.

#### **Motion #1 –Application Review Subcommittee**

- Motion made by Oswald Steward to Approve funding of INFR4-10314 (Alpha Clinic)
- Motion seconded by Art Torres

#### **Vote**

- The ICOC Application Review Subcommittee by Individual voice vote approved the motion
- 12 YES; 0 NO; 0 ABSTENTION; 2 CONFLICTS

#### **Motion #2 –Application Review Subcommittee**

- Motion made by Oswald Steward to Approve funding of INFR4-10361 (Alpha Clinic)
- Motion seconded by Steve Juelsgaard

#### **Vote**

- The ICOC Application Review Subcommittee by Individual voice vote approved the motion
- 11 YES; 0 NO; 1 ABSTENTION; 2 CONFLICTS

#### **Motion #3 –Application Review Subcommittee**

- Motion made by Steve Juelsgaard not to fund remaining Alpha Clinic Application INFR4-10313
- Motion seconded by Al Rowlett

#### **Vote**

- The ICOC Application Review Subcommittee by Individual voice vote approved the motion
- 11 YES; 0 NO; 1 ABSTENTION; 2 CONFLICTS

### **Agenda Item #7**

Consideration of applications submitted in response to CLIN 1: Partnering Opportunity for Late Stage Preclinical Projects and CLIN 2: Partnering Opportunity for Clinical Trial Stage Projects.

#### **Motion #4 –Application Review Subcommittee**

- Motion made by Oswald Steward to Fund application CLIN2-09574(Tier 1)
- Motion seconded by Francisco Prieto

#### **Vote**

- The ICOC Application Review Subcommittee by Individual voice vote approved the motion
- 13 YES; 0 NO; 0 ABSTENTION; 1 CONFLICTS

#### **Motion #5 –Application Review Subcommittee**

- Motion made by Art Torres to Fund application Fund application CLIN2-09672
- Motion seconded by Oswald Steward

#### **Vote**

- The ICOC Application Review Subcommittee by Individual voice vote approved the motion
- 11 YES; 0 NO; 2 ABSTENTION; 1 CONFLICTS

#### **Motion #6 –Application Review Subcommittee**

- Motion made by Francisco Prieto to Fund application CLIN2-09688
- Motion seconded by David Higgins

#### **Vote**

- The ICOC Application Review Subcommittee by Individual voice vote approved the motion
- 7 YES; 2 NO; 4 ABSTENTION; 0 CONFLICTS

#### **Motion #7 –Application Review Subcommittee**

- Motion made by Al Rowlett to Fund application CLIN1-10084
- Motion seconded by Francisco Prieto

#### **Vote**

- The ICOC Application Review Subcommittee by Individual voice vote approved the motion
- 13 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

#### **Motion #8 –Application Review Subcommittee**

- Motion made by Art Torres to Fund application CLIN2-10144
- Motion seconded by Oswald Steward

#### **Vote**

- The ICOC Application Review Subcommittee by Individual voice vote approved the motion
- 12 YES; 0 NO; 0 ABSTENTION; 1 CONFLICTS

#### **Motion #9 –Application Review Subcommittee**

- Motion made by Jonathan Thomas to Fund application CLIN2-10248
- Motion seconded by Francisco Prieto

#### **Vote**

- The ICOC Application Review Subcommittee by Individual voice vote approved the motion
- 13 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

#### **CLOSED SESSION**

Discussion of confidential intellectual property or work product, prepublication data, financial information, confidential scientific research or data, and other proprietary information relating to CLIN 1: Partnering Opportunity for Late Stage Preclinical Projects, CLIN 2: Partnering Opportunity for Clinical Trial Stage Projects and INFR4: Alpha Clinics Network Expansion Award (Health & Safety Code 125290.30(f) (3) (B) and (C)).

- No Closed Session taken

## **OPEN SESSION**

### **Agenda Item #9**

Consideration of appointment of new scientific members to the Grants Working Group.

### **Motion #2**

- Motion made by Oswald Steward to Approve appointment of new Grants Working Group Members
- Motion seconded by Deborah Deas

### **Vote**

- The ICOC by voice vote approved the motion
- 6 YES by phone; 0 NO; 0 ABSTENTION; 0 CONFLICTS

### **Agenda Item #10**

Consideration of final adoption of new intellectual property rules for new awards.

### **Motion #3**

- Motion made by Steve Juelsgaard to Adopt Regulation 100650 and IP Policy, with version A and with the SB 1064 finding
- Motion seconded by Anne Marie Duilege

### **Vote**

- The ICOC by voice vote approved the motion
- 6 YES by phone; 0 NO; 0 ABSTENTION; 0 CONFLICTS

### **Agenda Item #11**

Consideration of Clinical Program budget for 2017. Item Deferred

### **Agenda Item #12**

Consideration of action items from the Transition Subcommittee: Item Deferred

## **REPORTS & DISCUSSION ITEMS**

### **Agenda Item #13**

Chairman's Report

- Report given by Jonathan Thomas

### **Agenda Item #14**

Clinical Program Updates

- Report given by Sohel Talib

### **Agenda Item #15**

Public comment. The Committee will accept public testimony on any matter under its jurisdiction that is not on the agenda, but the Committee cannot act on any such matter at this meeting.

- No public comment made this time



**Application Subcommittee Meeting Sept 28, 2017**

MEMBERS	ATTENDANCE	VOTE			VOTE					
		MOTION 1	MOTION 2	MOTION 3	MOTION 4	MOTION 5	MOTION 6	MOTION 7	MOTION 8	MOTION 9
		Maker: Steward Second: Torres	Maker: Steward Second: Juelsgaard	Maker: Juelsgaard Second: Rowlett	Maker: Steward Second: Prieto	Maker: Torres Second: Steward	Maker: Prieto Second: Higgins	Maker: Rowlett Second: Prieto	Maker: Torres Second: Steward	Maker: Thomas Second: Prieto
		Approve funding of INFR4-10314 (Alpha Clinic)	Approve funding of INFR4-10361 (Alpha Clinic)	Do not fund remaining Alpha Clinic Application INFR4-10313	Fund application CLIN2-09574 (Tier 1)	Fund application CLIN2-09672	Fund application CLIN2-09688	Fund application CLIN1-10084	Fund application CLIN2-10144	Fund application CLIN2-10248
Anne-Marie Duliege	np					c				
David Higgins	P	Y	Y	Y	Y	Y	y	y	y	y
Steve Juelsgaard	P	Y	Y	Y	Y	A	A	y	y	y
Sherry Lansing	NP	c	C	c	c					
Dave Martin	P	Y	y	Y	Y	y	y	y	y	y
Lauren Miller	np									
Adriana Padilla	P	Y	Y	Y	Y	Y	y	y	y	y
Joe Panetta	P	Y	Y	Y	Y	Y	y	y	c	y
Francisco Prieto	P	c	c	c	Y	Y	y	y	y	y
Robert Quint	P	y	a	n	Y	A	A	y	y	y
AJ Rowlett	P	Y	y	Y	Y	Y	y	y	y	y
Jeff Sheehy	P	Y	Y	Y	Y	y	n	y	y	y
Os Steward	P	Y	y	Y	Y	y	n	y	y	y
Jonathan Thomas	P	Y	Y	Y	Y	y	y	y	y	y
Art Torres	P	Y	Y	Y	Y	y	A	y	y	y
Diane Winokur	P	Y	Y	Y	Y	Y	A	y	y	y
	13 P	12 Y	11 Y	11 Y	13 Y	11 Y	7 Y	13 Y	12 Y	13 Y
	3 NP	0 N	0 N	1 N	0 N	0 N	2 N	0 N	0 N	0 N
		0 A	1 A	0 A	0 A	2 A	4 A	0 A	0 A	0 A
		2 C	2 C	2 C	1 C	1 C	0 C	0 C	1 C	0 C
		Approved by a vote of 14-1	Approved by vote of 11-0	Approved by vote of 11-1	Approved by vote of 13-0	Approved by vote of 11-0	Approved by vote of 7-2	Approved by vote of 13-0	Approved by vote of 12-1	Approved by vote of 13-0

**LEGEND**  
**Attendance**  
 NP – Not Present  
 P – Present  
**Votes**  
 A – Abstain  
 C – Conflict  
 N – No  
 VV – Voice Vote  
 Y – Yes  
 Y/C – Yes, except for those which I have a conflict